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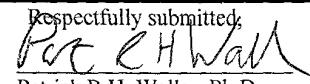
**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	LEX-002C1
First Named Inventor	Gillies
Title	Heterodimeric Fusion Proteins Useful for Targeted Immune Therapy and General Immune Stimulation

12/04/01 D-971 U.S. PTO

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<u>APPLICATION ELEMENTS</u>		ADDRESS TO: Box Patent Application Commissioner for Patents Washington, D.C. 20231	USPTO P.O. Box 2327 Arlington, VA 22202
1. <input type="checkbox"/> Fee Transmittal Form			
2. <input type="checkbox"/> Small Entity Status	<input type="checkbox"/> Applicant claims small entity status <input type="checkbox"/> Status established in prior application and is still proper and desired		
3. <input checked="" type="checkbox"/> Specification and Drawings [Total Pages 45]	<input type="checkbox"/> 37 CFR 3.73(b) Statement (<i>when there is an assignee</i>) <input type="checkbox"/> Power of Attorney		
- Written Description - (28 pages) - Claims - (5 pages) - Abstract - (1 page) - Sequence Listing - (3 pages) - Sheets of Drawings - (8 sheets)		<input type="checkbox"/> English Translation Document (<i>if applicable</i>)	
<input checked="" type="checkbox"/> Formal <input type="checkbox"/> Informal		<input type="checkbox"/> Information Disclosure Statement (IDS) <input type="checkbox"/> PTO-1449 <input type="checkbox"/> Copies of IDS Citations	
4. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages 4]	<input type="checkbox"/> Preliminary Amendment (3 pages) <input type="checkbox"/> Drawings [Total Sheets]		
a. <input type="checkbox"/> Newly executed (original) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))		<input type="checkbox"/> Return Receipt Postcard (<i>specifically itemized</i>)	
<i>(for continuation/divisional with Box 17 completed)</i> <i>[Note Box 5 below]</i>			
5. <input checked="" type="checkbox"/> Incorporation by Reference (usable if Box 4b is checked)	<input type="checkbox"/> Certified Copy of Priority Document(s) (<i>if foreign priority claimed</i>)		
The entire Disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.			
6. <input checked="" type="checkbox"/> Application Data Sheet (2 pages)	<input type="checkbox"/> CD in duplicate for large table or computer program		
7. <input checked="" type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission	<input checked="" type="checkbox"/> Other: <input checked="" type="checkbox"/> Associate Power of Attorney (2 pages) <input checked="" type="checkbox"/> Copy of petition for three-month extension of time and fee submitted on this day to BOX AF in prior application U.S.S.N. 08/986,997 (1 page) <input checked="" type="checkbox"/> Copy of prior patent application 08/986,997 Cover Sheet (1 page)		
<input checked="" type="checkbox"/> Computer Readable Form (CRF) <input checked="" type="checkbox"/> Substitute Paper Copy (identical to computer readable form) (2 pages) <input type="checkbox"/> CD (2 copies) (identical to computer copy) <input checked="" type="checkbox"/> Statement verifying identity of above copies (2 pages)			
17. <input checked="" type="checkbox"/> If a CONTINUING APPLICATION:	Amend the specification by inserting on page 1, before the first line, the sentence: --This is a <input checked="" type="checkbox"/> continuation <input type="checkbox"/> divisional <input type="checkbox"/> continuation-in-part of U.S. application Serial No. 08/986,997, filed on December 8, 1997, the entire disclosure of which is incorporated by reference herein.-- Priority to the above application(s) is claimed under 35 U.S.C. 120. Prior application information: Examiner: Pak, M., Group/Art Unit: 1646.		
18. <input type="checkbox"/> Priority - 35 U.S.C. 119	<input type="checkbox"/> Priority of application Serial No. _____ filed on _____ in _____ is claimed under 35 U.S.C. 119. <input type="checkbox"/> The certified copy has been filed in prior U.S. application Serial No. _____ / _____ on _____. <input type="checkbox"/> The certified copy will follow.		
<u>CORRESPONDENCE ADDRESS</u>		<u>SIGNATURE BLOCK</u>	
Direct all correspondence to: Patent Administrator Testa, Hurwitz & Thibeault, LLP High Street Tower 125 High Street Boston, MA 02110 Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100		Respectfully submitted,  Patrick R.H. Waller, Ph.D. Agent for the Applicants Testa, Hurwitz & Thibeault, LLP High Street Tower 125 High Street Boston, MA 02110	

PATENT
Attorney Docket No. LEX-002C1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Gillies et al.

SERIAL NO.: Continuation of GROUP No.: 1646
U.S.S.N. 08/986,997 (prior application)

FILING DATE: December 4, 2001 EXAMINER: Pak, M.
(prior application)

TITLE: Heterodimeric Fusion Proteins Useful for Targeted Immune Therapy and
General Immune Stimulation

Box Patent Application
Commissioner for Patents
Washington, D.C. 20231

**SUBMISSION OF PAPER COPY AND/OR COMPUTER READABLE COPY
OF SEQUENCE LISTING FOR INVENTION
CONTAINING NUCLEOTIDE AND/OR AMINO ACID SEQUENCE**

Sir:

1. This replies to the Patent Office Letter dated
 A copy of the Patent Office Letter is enclosed.

2. Submitted herewith is/are
(check each item as applicable)

- A. a paper copy of the Sequence Listing for this application with each sequence assigned a separate identifier. This substitute paper copy is produced using software, Patent In Version 2.0, supplied by the Patent Office, and replaces the Sequence Listing in the specification as filed.
- B. a copy, in computer readable form, of the Sequence Listing for this application.

STATEMENT

3. I hereby state that:

(complete applicable items A, B and/or C)

- A. the content of the paper and computer readable copies submitted herewith are the same.
- B. the content of the computer readable copy submitted herewith is the same as the Sequence Listing appearing on pages __ to __ of the original specification as filed.
- C. this submission includes no new matter.

Respectfully submitted,



Date: December 4, 2001

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